SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL) BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT Feb-14

VIOLATIONS & SUBJECT ACTION CHARGING SECTIONS

SUBJECT	ACTION	CHARGING SECTIONS
Prospect Mortgage, LLC	Settlement Agreement	Unlawful Residential Mortgage
		Lending Activity
	February 12, 2014	
Sherman Oaks, California		(Financial Code Sections
		50321, 50504(b), title 10 §
		1950.314.1 and 50314)
Protege Financial & Insurance Service, Inc. aka	Amended Settlement Agreement	Unlawful Offer and Sale of
Protégé Financial & Insurance Services, Inc. aka	for Entry of Final Judgment of	Securities and Investment
Protege Financial & Insurance Services, Inc. dba	Permanent Injunction and Restitution	Adviser Activity
Senior Retirement Specialists	Between Plaintiff and Defendants	
Teacher Retirement Specialists	Saxe-Coburg Insurance Solutions,	
Saxe-Coburg Insurance Solutions, LLC	LLC; Michelle Kenan Seward; Dror	(Corporations Code Sections
Skyline Pictures, LLC	Soref; and Scott Walter Foulk	25110, 25401 and 25230)
Not Forgotten, LLC		
Windsor Pictures, LLC	February 14, 2014	
Michelle Kenan Seward		
Dror Soref	Default Judgment by Court	
Scott Walter Foulk		
	Final Judgment of Permanent	
Valencia, California	Injunction	
Pasadena, California		
	February 25, 2014	

VIOLATIONS & ACTION CHARGING SECTIONS

SUBJECT	ACTION	CHARGING SECTIONS
Gunderson Capital Management, Inc.	Settlement Agreement Between	Unlawful Investment Adviser
William F. Gunderson	William F. Gunderson and Gunderson	Activity
	Capital Management, Inc., and The	
	Department pf Business Oversight	
San Diego, California	Resolving:	(Corporations Code Sections
	1. Desist and Refrain Order; and	25230(a), 25252(b) and
	2. Order Levying Administrative	25532(b))
	Penalties	
	February 18, 2014	
Bryan Taylor	Desist and Refrain Order	Unlawful Prorater Law
DebtPro 123, LLC		Activity
Irvine, California	February 19, 2014	(Financial Code Section
		12200)
Cybertek Studios, Inc.	Desist and Refrain Order	Unlawful Offer and Sale of
Ricardo A. Gonzalez		Securities
Glendale, California	February 26, 2014	(Corporations Code Section 25110)

SUBJECT VIOLATIONS & CHARGING SECTIONS

SUBJECT	ACTION	CHARGING SECTIONS
Terrance J. Fitch	Desist and Refrain Order	Unlawful Offer and Sale of
		Securities and Broker-Dealer
Chino, California		Activity
	February 27, 2014	
		(Corporations Code Sections
		25110 and 25210)
Fanny Waifun Chan	Desist and Refrain Order	Unlawful Offer and Sale of
		Securities and Broker-Dealer
Monterey Park, California		Activity
	February 27, 2014	
		(Corporations Code Sections
		25110 and 25210)
Universal Lending Corporation dba	Desist and Refrain Order	Unlawful Finance Lender Law
Commercial Capital Corporation		Activity
Silicon Valley Finance Company	February 27, 2014	
Edward J. Hostia		(Financial Code Section
		22100)
San Francisco, California		

VIOLATIONS & CHARGING SECTIONS

Desist and Refrain Order	Unlawful Offer and Sale of
	Securities and Broker-Dealer
	Activity
February 27, 2014	
	(Corporations Code Sections
	25110 and 25210)
Statement of Issues in Support of	Unlawful Franchise Investment
Stop Order and Stop Order Denying	Law Activity
Effectiveness of Franchise	
Registration Application	(Corporations Code Section
	31115)
February 27, 2014	
Order Revoking Residential	Unlawful Residential Mortgage
	Lending Activity
	Lending 7 ten vity
Triorigage Doun Servicer Electise	(Financial Code Sections
February 28, 2014	50310 and 50311)
1 Columy 20, 2017	30310 and 30311)
	February 27, 2014 Statement of Issues in Support of Stop Order and Stop Order Denying Effectiveness of Franchise Registration Application